

ALLIANT STRATEGIC INVESTMENTS

Last updated: March 1, 2021

Alliant Strategic Investments and its funds and other affiliates (“We”, “Our”, “Us”) respect your privacy rights. This Privacy Policy describes how we collect, use and share the personal data that we gather from you or that you may provide in connection with your investment in one or more Alliant Strategic funds or other investment vehicles or when you visit our website (www.alliantstrategic.com) or other online services.

Our Privacy Policy is divided into headings for your convenience.

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1. What Types of Personal Information Do We Collect?

Information You Give Us. When you interact with us, we may collect and use personally identifiable information about you, as well as other information. Personally identifiable information includes your name, address, email address, and other similar information that can be used to identify you. You may give us information in subscription agreements, investor questionnaires, applications or other forms. You may also provide us information in your correspondence and transactions with us by phone, mail, e-mail or other electronic means. Please note that when you are no longer our customer, we may continue to share your information as described in this notice.

Specifically, we may collect the following categories of information:

- Contact information, such as name, address, email, telephone number;
- Identification information, such as signature, date of birth, social security number, taxpayer identification number, driver’s license, passport, or other government identification;
- Background information, such as information revealed in information you provide to us in response to our know-your-customer (KYC) and anti-money laundering (AML) inquiries;

- Financial information, such as assets, income, net worth, investment amounts and history, transaction information, tax information

Information We Automatically Collect If You Use Our Website or Smart Phone

Applications. Like many website operators, we collect information that your browser sends whenever you visit our website or use our apps. This may include information such as your computer's Internet Protocol ("IP") address, browser type, browser version, the pages of our website that you visit, the time and date of your visit, the time spent on those pages and other statistics. This information may be collected via several technologies, including cookies, web beacons, clear GIFs, canvas fingerprinting and other means. You can control cookies in your browser, to enable or disable them. If you wish to restrict or block the cookies which are set by any website, you should do this through the web browser settings for each web browser you use, on each device you use to access the internet.

Information We Collect From Third Parties. We may collect your information from third party service providers, such as broker-dealers, screening companies, investor placement entities, or public databases, who perform services on our behalf or at your request to verify or supplement our information.

2. How Do We Use Personal Information?

We use the information we collect primarily to enable us to provide our services to you in the best possible manner. In addition, we may use your personal information as follows:

- To fulfill your requests for financial and investment products and services offered and subscribed or accepted by you, through any medium of communication;
- To deliver to you any administrative notices, advice and communications relevant to your use of our products or services;
- To analyze the website usage and improve the services offered;
- For market research, project planning, troubleshooting problems; and
- For detecting and protecting against error, fraud or other criminal activity

3. How Do We Share Personal Information?

Affiliates and Service Providers. We may share your information that we collect with our subsidiaries, affiliates, third-party service providers, or any subcontractors as required to offer you our products and services. This may include placement agents, financial services providers, non-financial companies, and others. Any such affiliate or third party service provider of ours who we disclose information to is required to maintain the confidentiality of your personal information and only use your personal information for purposes that we have agreed to permit.

We may share your information that we collect with third parties who provide services to you which services are related to our products and services. For example, if you made an investment in one of our funds with the assistance of your investment adviser or similar professional, we may share your information on an on-going basis with that adviser in the

course of providing services to you relating to your investment in our fund. We use our best efforts to share your information only with third parties of this sort who we reasonably believe have a service relationship with you (which may be direct or indirect) and only where we believe that such sharing would be beneficial or helpful to you. We typically do not have any contractual relationships with these types of third parties. As such, we do not control how they use your information. These third parties will have their own privacy policies and we do not accept any responsibility or liability for these policies. Please check these third party policies before you submit any information to these third parties.

If you provide your personal information directly to one of our third party service providers or directly to your own service provider, such as a third party broker, third party fund administrator, or software platform provider, these third parties will also have their own privacy policies and we do not accept any responsibility or liability for these policies. Please check these third party policies before you submit any information to these third parties.

Third Party Links and Websites. Our website or apps may contain links to and from the websites of third parties. If you follow a link to any of these websites, please note that these websites have their own privacy policies and that we do not accept any responsibility or liability for these policies. Please check these policies before you submit any data to these websites.

Disclosures Required by Law. We may be required to disclose your data in response to lawful requests by public authorities, including to meet law enforcement requirements. We may be under a duty to disclose or share your personal data in order to comply with any legal obligation, to enforce or apply our terms and conditions and other agreements, to protect our rights, property, or safety, or to protect the rights, property, or safety of others. This includes exchanging information with other companies and organizations for the purposes of fraud protection.

4. How Do We Respond to ‘Do Not Track’ Signals?

Do Not Track (“DNT”) is a privacy preference that users can set in certain web browsers. DNT is a way for users to inform websites and services that they do not want certain information about their webpage visits collected over time and across websites or online services. We do not recognize or respond to browser-initiated DNT signals, as the Internet industry is currently still working toward defining exactly what DNT means, what it means to comply with DNT, and a common approach to responding to DNT.

5. Your Privacy Choices

Please contact us at the following toll-free number 877-237-9972 or visit this webpage <https://www.alliantstrategic.com/contact> if you would like to request access or make any changes to your personal information.

Marketing Opt-Out: We may use your Personal Information to contact you with newsletters, marketing or promotional materials and other information that may be of interest to you.

You may opt out of receiving any, or all, of these electronic marketing communications from us by following the unsubscribe instructions provided in any email or other electronic communication we send.

You may opt out of receiving any, or all, of these non-electronic/paper communications from us by contacting us at the following toll-free number 877-237-9972 or visit this webpage <https://www.alliantstrategic.com/contact>.

Even if you unsubscribe to marketing communications, you will still continue to receive service-related messages concerning products and services you have purchased (unless we have indicated otherwise).

6. California Privacy Rights

If you are a California consumer, you have the following rights:

- The right to know what personal information is being collected about you.
- The right to know whether your personal information is sold or disclosed and to whom.
- The right to say no to the sale of personal information.
- The right to access your personal information.
- The right, in certain circumstances, to delete the information you have provided to us.
- The right to equal service and price, even if you exercise your privacy rights.

Request for Information and Deletion. California consumers have the right to request, up to twice in a 12-month period, that a business that collects personal information about the consumer disclose to the consumer the information listed below for the preceding 12 months.

- (1) The categories of personal information it has collected about that consumer.
- (2) The categories of sources from which the personal information is collected.
- (3) The business or commercial purpose for collecting or selling personal information.
- (4) The categories of third parties with whom the business shares personal information.
- (5) The categories of personal information that the business sold about the consumer and the categories of third parties to whom the personal information was sold, by category or categories of personal information for each third party to whom the personal information was sold.
- (6) The categories of personal information that the business disclosed about the consumer for a business purpose.
- (7) The specific pieces of personal information it has collected about that consumer.

To make such a request for information, or to request that we delete your information, please call us at the following toll-free number 877-237-9972 or visit this webpage <https://www.alliantstrategic.com/contact>.

Do Not Sell My Personal Information. California consumers have the right to opt out of the sale of the consumer's personal information. To make such a request, please call us at the following toll-free number 877-237-9972 or visit this webpage <https://www.alliantstrategic.com/contact>.

Request Verification. Please note all consumer requests described above in this Section 6 are subject to verification and there may be circumstances where we do not honor your request, as required or permitted under the law. We may verify any request by asking for additional information, including identifying information to verify the identity of the person making the request. You are entitled to make any such request through an authorized agent. If an authorized agent is making the request, such requests are subject to the same verification process mentioned above and we may also ask for additional proof of authorization.

Third Party Marketing. California Civil Code Section 1798.83 permits you to request information regarding the disclosure of your personal information to third parties for the third parties' direct marketing purposes. To make such a request, please contact us at the following toll-free number 877-237-9972 or visit this webpage <https://www.alliantstrategic.com/contact>.

7. International Transfers of Data

If you are accessing our website or apps from outside of the United States, any data that you provide to us will be accessed, shared or processed by our offices, located in the United States, and service providers located in the United States, if such data transfer is necessary for the specific purpose for which you submitted your data (such as the provision of goods or services under a written contract).

This may entail a transfer of your personal information across international borders into the United States. The data protections standards may differ and be lower than the standards enforced in your jurisdiction.

8. Children's Privacy

This Site is not directed at individuals under the age of 16. We do not knowingly collect personal information from children under 16. If you become aware that a child has provided us with personal information, please contact us at the following toll-free number 877-237-9972 or visit this webpage <https://www.alliantstrategic.com/contact>. If we become aware that a child under 16 has provided us with personal information, we will take steps to delete such information.

9. Safeguarding Your Data

To protect the personal information of individuals, we permit access only by authorized employees who need access to that information to provide services to us and to you. To guard investors' personal information, we maintain physical, electronic and procedural safeguards that comply with applicable federal and state standards.

Your right to privacy extends to all forms of contact with us, including telephone, written correspondence and electronic media, such as the internet.

10. Change of Control

Personal information may be transferred to a third party as a result of a sale, acquisition, merger, reorganization or other change in control. If we sell, merge or transfer any part of the business, part of the sale may include your personal information.

11. Policy Changes

We may change our Privacy Policy at any time. We encourage you to periodically review this Privacy Policy to ensure you are familiar with the most current version. We will provide a notice to our investor clients of any material changes to this policy.

12. Contact Information

If you wish to contact us or have any questions about or complaints in relation to this Privacy Policy, please contact us at the following contact details:

877-237-9972; www.alliantstrategic.com/contact